

4-02-01

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP01-070	Total Pages	1
First Named Inventor or Application Identifier   Liu, Wei			
Title   HYDROTREATING PROCESS AND CATALYST			
Express Mail Label No.   EL689104120US			

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> * Fee Transmittal Form (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 24] (preferred arrangement set forth below)  - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 2]	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 2]	8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)
	13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	14. <input type="checkbox"/> Other:
15. <input type="checkbox"/>	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 08/

Prior application information: Examiner: Group / Art Unit:

**CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22928	or <input type="checkbox"/> Correspondence address below	
NAME	Kees van der Sterre		
ADDRESS	Corning Incorporated, SP-TI-3-1		
CITY	Corning	STATE	NY
COUNTRY	USA	TELEPHONE	(607) 974-3294
Name (Print/Type)	Kees van der Sterre	Registration No. (Attorney/Agent)	25,938
Signature	<i>Kees van der Sterre</i>		Date March 30, 2001

# FEE TRANSMITTAL for FY 2000

		<i>Complete if Known</i>	
		Application Number	
		Filing Date	
		First Named Inventor	Liu, Wei
		Examiner Name	
		Group / Art Unit	
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$1,060.00)</b>	Attorney Docket Number	SP01-070

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
03-3325

Deposit Account Name  
Corning Incorporated

Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**
**1. BASIC FILING FEE**

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	710	Utility filing fee	710
	106	320	Design filing fee	
	107	490	Plant filing fee	
	108	710	Reissue filing fee	
	114	150	Provisional filing fee	
	<b>SUBTOTAL (1)</b>			<b>(\$710)</b>

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from Claims below	Fee Paid
Total Claims	35	- 20** = 15	x 18 = 270
Independent Claims	4	- 3** = 1	x 80 = 80
Multiple Dependent		=	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
	103	18	Claims in excess of 20
	102	80	Independent claims in excess of 3
	104	270	Multiple dependent claim, if not paid
	109	80	** Reissue independent claims over original patent
	110	18	** Reissue claims in excess of 20 and over original patent
	<b>SUBTOTAL (2)</b>		<b>(\$350)</b>

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	Surcharge - late filing fee or oath	
	127	50	Surcharge - late provisional filing fee or cover sheet	
	139	130	Non-English specification	
	147	2,520	For filing a request for reexamination	
	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840	Requesting publication of SIR after Examiner action	
	115	110	Extension for reply within first month	
	116	390	Extension for reply within second month	
	117	890	Extension for reply within third month	
	118	1,390	Extension for reply within fourth month	
	128	1,890	Extension for reply within fifth month	
	119	310	Notice of Appeal	
	120	310	Filing a brief in support of an appeal	
	121	270	Request for oral hearing	
	138	1,510	Petition to institute a public use proceeding	
	140	110	Petition to revive - unavoidable	
	141	1,240	Petition to revive - unintentional	
	142	1,240	Utility issue fee (or reissue)	
	143	440	Design issue fee	
	144	600	Plant issue fee	
	122	130	Petitions to the Commissioner	
	123	50	Petitions related to provisional applications	
	126	240	Submission of Information Disclosure Stmt	
	581	40	Recording each patent assignment per property (times number of properties) ___ x	
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
	Other fee (specify) _____			
	Other fee (specify) _____			
	*Reduced by Basic Filing Fee Paid			<b>SUBTOTAL (3)</b> <b>(\$0)</b>

<b>SUBMITTED BY</b>		<b>Completed (if applicable)</b>	
Name (Print/Type)	Kees van der Sterre	Registration No. (Attorney/Agent)	25,938
Signature	<i>Kees van der Sterre</i>	Date	March 30, 2001